



PATENT

Case Docket No. SIMTECH.172A

Date: March 14, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Mark Moshayed  
App. No. : 10/032,149  
Filed : December 20, 2001  
For : SYSTEM AND METHOD FOR  
EARLY DETECTION OF  
IMPENDING FAILURE OF A  
DATA STORAGE SYSTEM  
Group Art Unit : Unknown

I hereby certify that this correspondence and all marked  
attachments are being deposited with the United States  
Postal Service as first class mail in an envelope addressed  
to: United States Patent and Trademark Office, P.O. Box  
2327, Arlington, VA 22202, on

March 14, 2002

(Date)

*John R. King*  
John R. King, Reg. No. 34,362

TRANSMITTAL LETTER

United States Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

ATTENTION: BOX MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f),  
which was mailed by the Office on January 28, 2002, enclosed are:

- (X) An executed Declaration by Inventor(s).
- (X) A Power of Attorney Form and Copy of Assignment.
- (X) A Notice to File Missing Parts.
- (X) Information Disclosure Statement with Fourteen (14) References.
- (X) Return prepaid postcard.

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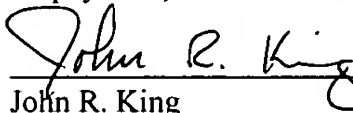
Date: March 14, 2002

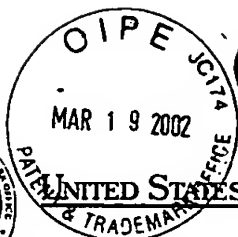
(X) Fees as calculated below:

FILING FEE		\$ 1502
FEE FOR EXTENSION OF TIME (LARGE ENTITY)	0 months	\$ 0
SURCHARGE 37 CFR 1.16(e)		\$ + 130
TOTAL OF ABOVE CALCULATIONS		\$ 1632
REDUCTION BY 1/2 FOR FILING BY SMALL ENTITY.		
Note 37 CFR 1.9, 1.27, 1.28. If applicable, verified statement must be attached. \$ - 0		
TOTAL FEES SUBMITTED HEREWITH		\$ 1632

(X) A check in the amount of \$1632 to cover the above fees is enclosed.

(X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.

  
John R. King  
Registration No. 34,362  
Attorney of Record



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/032,149	12/20/2001	Mark Moshayed	SIMTECH.172A

CONFIRMATION NO. 3558

20995  
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620 NEWPORT CENTER DRIVE  
SIXTEENTH FLOOR  
NEWPORT BEACH, CA 92660

## FORMALITIES LETTER



\*OC000000007375484\*

Date Mailed: 01/26/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$762.
  - \$342 for 19 total claims over 20.
  - \$420 for 5 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1632.

03/21/2002 SDEMB0B1 00000056 10032149

01 FC:101	740.00 OP
02 FC:102	420.00 OP
03 FC:103	342.00 OP
04 FC:105	130.00 OP

Copy of this notice **MUST** be returned with the reply.

*Request wldeyes*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE